

Yesul ("Kristin") Lee

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Overview

Drawing on her experience as both a regulator and an industry professional, Kristin advises financial institutions on complex compliance, enforcement, and litigation matters involving federal and state banking and securities laws. She helps clients assess risk, address regulatory concerns proactively, and resolve investigations efficiently.

Kristin represents banks, broker-dealers, investment advisers, and other financial institutions in regulatory investigations and enforcement actions brought by the OCC, SEC, FINRA, CFTC, DOJ and state regulators. She also counsels clients on California-specific regulatory issues and state licensing requirements related to banking and investment activities, as well as defends them in litigation arising from alleged regulatory and statutory violations.

Clients benefit from Kristin's practical, risk-focused approach and her insight into how regulators evaluate potential issues. She identifies potential regulatory risks early and recommends practical corrective measures before issues escalate into enforcement actions. When matters do proceed before regulators, Kristin highlights clients' proactive compliance efforts to help mitigate exposure and achieve favorable outcomes.

Kristin's approach is informed by her previous role as an Associate National Bank Examiner at the OCC, where she conducted bank examinations, evaluated governance and compliance programs and drafted enforcement-related memoranda. That experience enhances her ability to provide clients with insight into supervisory priorities, regulatory expectations, and how potential issues are likely to be viewed by regulators and enforcement staff. Before entering legal practice, she also worked in the asset management industry structuring and registering a range of investment products, including mutual funds, ETFs, hedge funds, real estate funds and private equity funds.

Combining regulatory, litigation and industry experience, Kristin readily aligns her legal advice with her clients' business realities and excels at proactively addressing regulatory concerns and developing efficient litigation and settlement strategies.

Kristin is fluent in both English and Korean.

Credentials

EDUCATION

- University of Minnesota Law School, 2016, J.D.
- Kyunghee University, 2003, B.A., Accounting and English

BAR & COURT ADMISSIONS

- California
- New York

News & Insights

- California's Ban on PFAS: The Law May Be Clear, but the Rules Are Not
  October 12, 2026 | Client Alerts & Insights
- Word on the Street: Key Insights from the 2026 National Association of Attorneys General Presidential Summit
  July 10, 2026 | Client Alerts & Insights
- Where AI Regulation Stands Today Part 2: State Attorneys General as the Primary AI Enforcers
  June 22, 2026 | Client Alerts & Insights
- New Lawsuit Challenges California's SB 54 Plastic Regulations-Claiming the Regulations Do Not Go Far Enough
  June 15, 2026 | Client Alerts & Insights
- Ohio Governor Appoints Andy Wilson as Attorney General: New Leadership, New Opportunities
  June 12, 2026 | Client Alerts & Insights
- State AGs are Busy Bees: Benesch Continues to Monitor the Implications of Recent State AG Actions
  June 3, 2026 | Client Alerts & Insights
- California SB 54 Is Here: What Companies Using Plastic Packaging Need To Do Now
  May 28, 2026 | Client Alerts & Insights
- Texas Attorney General Targets Music Streaming "Payola": What the Civil Investigative Demands Signal for Digital Platforms
  May 18, 2026 | Client Alerts & Insights

- Prediction Markets and Public Power: Senate Restricts Trading, but Constitutional Questions Linger
  May 8, 2026 | Client Alerts & Insights
- Social Media Might Have to Rethink Platform Design and Features as Courts Reject Communications Decency Act, Section 230 Defense
  May 1, 2026 | Client Alerts & Insights